

Introductory sub-table (I)(a)

Name of the Company: PNB Finance & Industries Limited

Scrip Code, Name of the scrip, class of security: 26055, Equity

Quarter ended: 31.12.2014

Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - D	0	0	0
Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - E	0	0	0
Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
Held by promoter/promoter group	0	0	0
Held by public	0	0	0
Total - F	0	0	0
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	3200000		

Statement Showing Shareholding Pattern

			Table (I)(a)					
Category code	Category of Shareholder	Number of Shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares Pledged or otherwise encumbered	
					As a percentage of(A+B) ¹	As a percentage of (A+B+C)	Number of shares	As a percentage
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)= (VIII)/(IV)*100
(A)	Shareholding of Promoter and Promoter Group²							
1	Indian							
(a)	Individuals/ Hindu Undivided Family				0.00	0.00		0.00
(b)	Central Government/ State Government(s)				0.00	0.00		0.00
(c)	Bodies Corporate				0.00	0.00		0.00
(d)	Financial Institutions/ Banks				0.00	0.00		0.00
(e)	Any Others(Specify)				0.00	0.00		0.00
	Sub Total(A)(1)	0	0	0	0.00	0.00	0	0.00
2	Foreign							
a	Individuals (Non-Residents Individuals/ Foreign Individuals)				0.00	0.00		0.00
b	Bodies Corporate				0.00	0.00		0.00
c	Institutions				0.00	0.00		0.00
d	Qualified Foreign Investor				0.00	0.00		0.00
e	Any Others(Specify)				0.00	0.00		0.00
	Sub Total(A)(2)	0	0	0	0.00	0.00	0	0.00
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)	0	0	0	0.00	0.00	0	0.00

(B)	Public shareholding							
1	Institutions							
(a)	Mutual Funds/ UTI	0	0	0	0.00	0.00		
(b)	Financial Institutions / Banks	11	50462	0	1.58	1.58		
(c)	Central Government/ State Government(s)	0	0	0	0.00	0.00		
(d)	Venture Capital Funds	0	0	0	0.00	0.00		
(e)	Insurance Companies	0	0	0	0.00	0.00		
(f)	Foreign Institutional Investors	0	0	0	0.00	0.00		
(g)	Foreign Venture Capital Investors	0	0	0	0.00	0.00		
(h)	Qualified Foreign Investor		0	0	0.00	0.00		
(i)	Any Other (specify)	0	0	0	0.00	0.00		
	Sub-Total (B)(1)	11	50462	0	1.58	1.58		
B 2	Non-institutions							
(a)	Bodies Corporate	48	2193748	2172122	68.56	68.56		
(b)	Individuals				0.00	0.00		
I	Individuals -i. Individual shareholders holding nominal share capital up to Rs 1 lakh	2359	136284	83614	4.26	4.26		
II	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	3	792431	792431	24.76	24.76		
(c)	Qualified Foreign Investor	0	0	0	0.00	0.00		
(d)	Any Other (NRI)	13	18548	17762	0.58	0.58		
	Public Trust	1	400	400	0.01	0.01		
	HUF	29	7996	7996	0.25	0.25		
	Clearing Member	0	0	0	0.00	0.00		
	Sub-Total (B)(2)	2453	3149407	3074325	98.42	98.42		
(B)	Total Public Shareholding (B)= (B)(1)+(B)(2)	2464	3199869	3074325	100.00	100.00		
	TOTAL (A)+(B)	2464	3199869	3074325	100.00	100.00		
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
1	Promoter and Promoter Group					0.00		0.00
2	Public					0.00		
	Sub-Total (C)	0	0	0		0	0	
	GRAND TOTAL (A)+(B)+(C)	2464	3199869	3074325		100.00	0	0.00

Note: Total No. of shares excludes 131 shares in the form of coupon.

(D)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Promoter and Promoter Group”

Sr. No.	Name of the shareholder	Details of Shares held		Encumbered shares (*)			Details of warrants		Details of convertible securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
		Number of shares held	As a % of grand total (A)+(B)+(C)	No.	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I)(a)	Number of warrants held	As a % of total number of warrants of the same class	Number of convertible securities held	As a % of total number of convertible securities of the same class	
(I)	(II)	(III)	(IV)	(V)	(VI)=(V)/(III)*100	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
1	NIL		0.00		0.00	0.00		0.00		0.00	
2			0.00		0.00	0.00		0.00		0.00	
3			0.00		0.00	0.00		0.00		0.00	
4			0.00		0.00	0.00		0.00		0.00	
5			0.00		0.00	0.00		0.00		0.00	
					0.00			0.00		0.00	
TOTAL		0	0	0	0.00	0.00	0	0	0	0	0

(*) The term “encumbrance” has the same meaning as assigned to it in regulation 28(3) of the SAST Regulations, 2011

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category “Public” and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants held	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Artee Viniyoga Limited	801710	25.05	0	0	0	0	
2	Ashoka Viniyoga Limited	651660	20.37	0	0	0	0	
3	Camac Commercial Limited	520000	16.25	0	0	0	0	
4	Samir Jain	518827	16.21	0	0	0	0	
5	Meera Jain	196000	6.13	0	0	0	0	
6	Combine Holding limited	161437	5.05	0	0	0	0	
7	Trishla Jain	77604	2.43	0	0	0	0	
TOTAL		2927238	91.48	0	0	0	0	0

(I)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category “Public” and holding more than 5% of the total number of shares of the company

Sr. No.	Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them	Number of shares	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}	Details of warrants		Details of convertible		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
				Number of warrants	As a % total number of warrants of the same class	Number of convertible securities held	% w.r.t total number of convertible securities of the same class	
1	Artee Viniyoga Limited	801710	25.05	0	0	0	0	
2	Ashoka Viniyoga Limited	651660	20.37	0	0	0	0	
3	Camac Commercial Limited	520000	16.25	0	0	0	0	
4	Samir Jain	518827	16.21	0	0	0	0	
5	Meera Jain*	196000	6.13	0	0	0	0	
6	Trishla Jain*	77604	2.43	0	0	0	0	
7	Combine Holding limited	161437	5.05	0	0	0	0	
TOTAL		2927238	91.48	0	0	0	0	0

* PAC with Mr. Samir Jain

(I)(d) Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil		0.00
2			0.00
3			0.00
4			0.00
5			0.00
TOTAL		0	0.00

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil			0.00
2				0.00
3				0.00
4				0.00
5				0.00
TOTAL		0	0	0.00

(II)(b) Statement showing holding of Depository Receipts (DRs), where underlying shares held by "promoter/promoter group" are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil			0
2				0
3				0
4				0
5				0
TOTAL			0	0