

# PNB FINANCE AND INDUSTRIES LIMITED

## Format of holding of specified securities

1. Name of Listed Entity:	PNB FINANCE AND INDUSTRIES LIMITED
2. Scrip Code/Name of Scrip/Class of Security	Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending b. If under 31(1)(c) then indicate date of allotment/extinguishment	December 31, 2019
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5. The tabular format for disclosure of holding of specified securities is as follows:-

For PNB Finance and Industries Limited

  
Company Secretary

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class:Equity	Class:Debt	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)	(XIII)	(XIV)			
(A)	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(B)	Public	898	3200000	0	0	3200000	100	3200000	0	3200000	100	0	100	0	0	503	0.02	311603
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Total</b>	<b>898</b>	<b>3200000</b>	<b>0</b>	<b>0</b>	<b>3200000</b>	<b>100</b>	<b>3200000</b>	<b>0</b>	<b>3200000</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>503</b>	<b>0.02</b>	<b>311603</b>

For PNB Finance and Industries Limited



Company Secretary

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held dematerialized for
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total shares held (b)	
									Class X	Class Y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
1	Indian																	
(a)	Individual/Hindu Undivided Family		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(b)	Central Government/State Government(s)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(c)	Financial Institutions/Banks		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(d)	Any Other (Specify)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
	Sub Total (A)(1)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
2	Foreign																	
(a)	Individual/Non Resident Individual/Foreign Individual		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(b)	Government		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(c)	Institutions		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(d)	Foreign Portfolio Investor		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
(e)	Any Other (Specify)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
	Sub Total (A)(2)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	

For PNB Finance and Industries Limited

*[Signature]*  
Company Secretary

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class: Equity Shares	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
1	Institutions																		
	(a) Mutual Fund		0	0	0		0	0	0	0	0	0	0	0	0	0.00	0	0.00	0
	(b) Venture Capital Funds		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(c) Alternate Investment Funds		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(d) Foreign Venture Capital Investor		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(e) Foreign Portfolio Investors		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(f) Financial Institutions/Banks		9	50449	0		50449	1.58	50449	0	50449	1.58	0	1.58	0	0.00	0	0.00	0
	(g) Insurance Companies		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(h) Provident Fund/Pensions Funds		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(i) Any Other Specify		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	Sub Total (B)(1)		9	50449	0		50449	1.58	50449	0	50449	1.58	0	1.58	0	0.00	0.00	0.00	0
2	Central Government/State Government/President of India		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	Sub Total (B)(2)		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs		808	110749	0		110749	3.46	110749	0	110749	3.46	0	3.46	0	0.00	253	0.01	97475
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs		3	792431	0		792431	24.76	792431	0	792431	24.76	0	24.76	0	0.00	0	0.00	792431
	SAMIR JAIN	AEHPJ3016Q		518827	0		518827	16.21	518827	0	518827	16.21	0	16.21	0	0.00	0	0.00	518827
	MEERA JAIN	AEZPJ3882J		196000	0		196000	6.13	196000	0	196000	6.13	0	6.13	0	0.00	0	0.00	196000
	INDU JAIN	AEHPJ3014N		77604	0		77604	2.43	77604	0	77604	2.43	0	2.43	0	0.00	0	0.00	77604
	(b) NBFCs Registered with RBI		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(c) Employee Trusts		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(e) Any other (Specify)																		
	(e1) Bodies Corporate		33	2188137	0		2188137	68.38	2188137	0	2188137	68.38	0	68.38	0	0.00	250	0.01	2167997
	ARTEE VINIYOGA LIMITED	AABCA1549C		801710	0		801710	25.05	801710	0	801710	25.05	0	25.05	0	0.00	0	0.00	801710
	ASHOKA VINIYOGA LIMITED	AACCA4784G		651660	0		651660	20.36	651660	0	651660	20.36	0	20.36	0	0.00	0	0.00	651660
	CAMAC COMMERCIAL COMPANY LIMITED	AABCC0733E		520000	0		520000	16.25	520000	0	520000	16.25	0	16.25	0	0.00	0	0.00	520000
	COMBINE HOLDING LIMITED	AAACC0807E		161437	0		161437	5.04	161437	0	161437	5.04	0	5.04	0	0.00	0	0.00	161437
	(e2) Non Resident Indians		7	21762	0		21762	0.68	21762	0	21762	0.68	0	0.68	0	0.00	0	0.00	21662
	(e3) Resident Indian Huf		37	6563	0		6563	0.21	6563	0	6563	0.21	0	0.21	0	0.00	0	0.00	6563
	(e4) Trusts		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(e5) Clearing Members/House		0	0	0		0	0	0	0	0	0	0	0	0.00	0	0.00	0	
	(e6) Others (IEPF)		1	29909	0		29909	0.93	29909	0	29909	0.93	0	0.93	0	0.00	0	0.00	29909
	Sub Total (B)(3)		889	3149551	0		3149551	98.42	3149551	0	3149551	98.42	0	98.42	0	0.00	503	0.02	3116037
	Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)		898	3200000	0		3200000	100	3200000	0	3200000	100	0	100	0	0.00	503	0.02	3116037

\*Total Public shareholding includes 131 shares in the form of fractional coupons.

For PNB Finance and Industries Limited

Details of Shareholder acting as Persons in Concert including their shareholding (No. & %)

Sr. No.	Name of Shareholder	PAC	No. of Shares	% of shareholding
1	Samir Jain	Meera Jain & Indu Jain	518827	16.21
2	Meera Jain	Samir Jain & Indu Jain	196000	6.13
3	Indu Jain	Samir Jain	77604	2.43

*[Signature]*  
Company Secretary

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total no. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (Not Applicable)	
									No of Voting Rights					Total as a % of Total Voting rights	No.	As a % of total Shares held	No. (Not applicable)		As a % of total shares held (Not applicable)
									Class X	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
1	Custodian/DR																		
(a)	Name of DR Holder (if Available)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	
2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)																		
(a)	Name of DR Holder (if Available)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	
	Total Non Promoter Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0		0	0				0	0	0	0.00	0	0.00	0	

For PNB Finance and Industries Limited



Company Secretary

**Table V- Statement showing details of significant beneficial owners (SBOs)**

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)		Date of creation/ acquisition of significant beneficial interest* (IV)
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality	Whether by virtue of:		
							Shares	<input type="text"/> %	
							Voting rights	<input type="text"/> %	
							Rights on distributable dividend or any other distribution	<input type="text"/> %	
							Exercise of control		
							Exercise of significant influence		
There are no significant beneficial owners of the Company									

For PNB Finance and Industries Limited



Company Secretary