

# PNB FINANCE AND INDUSTRIES LIMITED

## Format of holding of specified securities

1. Name of Listed Entity:	PNB FINANCE AND INDUSTRIES LIMITED
2. Scrip Code/Name of Scrip/Class of Security	Equity
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) a. If under 31(1)(b) then indicate the report for Quarter ending b. If under 31(1)(c) then indicate date of allotment/extinguishment	September 30, 2020
4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1.	Whether the Listed Entity has issued any partly paid up shares?		No
2.	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3.	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4.	Whether the Listed Entity has any shares in locked-in?		No
5.	Whether any shares held by promoters are pledge or otherwise encumbered?		No

*\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.*

## 5. The tabular format for disclosure of holding of specified securities is as follows:-

SHWETA  
SAXENA

Digitally signed by SHWETA SAXENA  
DN: C=IN, O=Personal,  
PostalCode=201204, S=UTTAR  
PRADESH,  
SERIALNUMBER=d91785cbb969cf3ca4  
1b17960aedbf5e66ac6750830a816936ebe  
6b97c26bc4, CN=SHWETA SAXENA  
Reason: I am the author of this document  
Location: your signing location here  
Date: 2020-10-21 16:08:25  
Foxit PhantomPDF Version: 9.7.0

Regd. Office: 1<sup>st</sup> Floor, Express Building, 9-10, Bahadur Shah Zafar Marg, New Delhi-110002

Phone: +91-7303495375

CIN: L65929DL1947PLC001240 email: [pnbfinanceindustries@gmail.com](mailto:pnbfinanceindustries@gmail.com)

Website: [www.pnbfinanceandindustries.com](http://www.pnbfinanceandindustries.com)

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Nos. of share holders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) As a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class:Equity	Classe:g:y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(B)	Public	881	3200000	0	0	3200000	100	3200000	0	3200000	100	0	100	0	0	613	0.02	3157234
(C)	Non Promoter- Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C1)	Shares underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	<b>Total</b>	<b>881</b>	<b>3200000</b>	<b>0</b>	<b>0</b>	<b>3200000</b>	<b>100</b>	<b>3200000</b>	<b>0</b>	<b>3200000</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>0</b>	<b>0</b>	<b>613</b>	<b>0.02</b>	<b>3157234</b>

**SHWETA  
SAXENA**

Digitally signed by SHWETA SAXENA  
 DN: C=IN, O=Personal, PostalCode=201204,  
 S=UTTAR PRADESH,  
 SERIALNUMBER=d91785cbb969cf3ca41bf  
 7960aedbf5e66ac6750830a816936ebe6b97c  
 26bc4, CN=SHWETA SAXENA  
 Reason: I am the author of this document  
 Location: your signing location here  
 Date: 2020-10-21 16:09:11  
 Foxit PhantomPDF Version: 9.7.0

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) as a % of A+B+C2	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form
									No of Voting Rights		Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total share s held (b)	
									Class X	Class Y								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)= (VII)+(X)	(XII)		(XIII)		(XIV)
1	Indian																	
	(a) Individual/Hindu Undevided Family		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(b) Central Government/Staten Government(s)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(c) Financial Institutions/Banks		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(d) Any Other (Specity)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(1)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
2	Foreign																	
	(a) Individual/Non Resident Individual/Foreing Individual		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(b) Government		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(c) Institutions		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(d) Foreign Portfolio Investor		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	(e) Any Other (Specity)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	Sub Total (A)(2)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0
	Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		0	0	0		0	0				0	0.00	0	0.00	0	0.00	0

SHWETA  
SAXENA

Digitally signed by SHWETA SAXENA  
DN: C=IN, O=Personal, PostalCode=201204, S=UTTAR PRADESH,  
SERIALNUMBER=d91785cbba969cf3ca41bf7960aedbf5e66ac6750830a816936e  
be6b97c26bc4, CN=SHWETA SAXENA  
Reason: I am the author of this document  
Location: your signing location here  
Date: 2020-10-21 16:09:47  
Foxit PhantomPDF Version: 9.7.0

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of the Shareholders	PAN	Nos. of share holder	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculate d as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerializ ed form	
									No of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total shares held (Not applicable) (b)
									Class: Equity Shares	Class Y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
1	Institutions																		
	(a) Mutual Fund			0	0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0
	(b) Venture Capital Funds			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(c) Alternate Investment Funds			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(d) Foreign Venture Capital Investor			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(e) Foreign Portfolio Investors			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(f) Financial Institutions/Banks			7	10449	0	10449	0.33	10449	0	10449	0.33	0	0.33	0	0.00	N.A	0.00	0
	(g) Insurance Companies			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(h) Provident Fund/Pensions Funds			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(i) Any Other Specify			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	Sub Total (B)(1)			7	10449	0	10449	0.33	10449	0	10449	0.33	0	0.33	0	0.00	N.A	0.00	0
2	Central Government/State Government/President of India			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	Sub Total (B)(2)			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
3	Non-Institutions																		
	(a) 1. Individual Shareholders holding Nominal Share Capital Up to 2 Lacs			791	109988	0	109988	3.44	109988	0	109988	3.44	0	3.44	0	0.00	253	0.01	97911
	2. Individual Shareholders holding Nominal Share Capital Above 2 Lacs			2	792431	0	792431	24.76	792431	0	792431	24.76	0	24.76	0	0.00	N.A	0.00	792431
	SAMIR JAIN	AEHPJ3016Q			518827	0	518827	16.21	518827	0	518827	16.21	0	16.21	0	0.00	N.A	0.00	518827
	MEERA JAIN	AEZPJ3882J			273604	0	273604	8.55	273604	0	273604	8.55	0	8.55	0	0.00	N.A	0.00	273604
	(b) NBFCs Registered with RBI			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(c) Employee Trusts			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(d) Overseas Depositories (Holding DRs) (Balancing Figure)			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(e) Any other (Specify)																		
	(e1) Bodies Corporate			33	2188383	0	2188383	68.39	2188383	0	2188383	68.39	0	68.39	0	0.00	360	0.01	2168243
	ARTEE VINIYOGA LIMITED	AABCA1549C			801710	0	801710	25.05	801710	0	801710	25.05	0	25.05	0	0.00	N.A	0.00	801710
	ASHOKA VINIYOGA LIMITED	AACCA4784G			651660	0	651660	20.36	651660	0	651660	20.36	0	20.36	0	0.00	N.A	0.00	651660
	CAMAC COMMERCIAL COMPANY LIMITED	AABCC0733E			520000	0	520000	16.25	520000	0	520000	16.25	0	16.25	0	0.00	N.A	0.00	520000
	COMBINE HOLDING LIMITED	AAACC0807E			161437	0	161437	5.04	161437	0	161437	5.04	0	5.04	0	0.00	N.A	0.00	161437
	(e2) Non Resident Indians			8	22394	0	22394	0.70	22394	0	22394	0.70	0	0.70	0	0.00	N.A	0.00	22294
	(e3) Resident Indian Huf			39	6525	0	6525	0.2	6525	0	6525	0.2	0	0.2	0	0.00	N.A	0.00	6525
	(e4) Trusts			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(e5) Clearing Members/House			0	0	0	0	0	0	0	0	0	0	0	0.00	N.A	0.00	0	
	(e6) Others (IEPF)			1	69830	0	69830	2.20	69830	0	69830	2.20	0	0.92	0	0.00	N.A	0.00	69830
	Sub Total (B)(3)			874	3189551	0	3189551	99.67	3189551	0	3189551	99.67	0	99.67	0	0.00	613	0.02	3157234
	Total Public Shareholding (B)= (B)(1)+(B)(2) + B (3)			881	3200000	0	3200000	100	3200000	0	3200000	100	0	100	0	0.00	613	0.02	3157234

\*Total Public shareholding includes 131 shares in the form of fractional coupons.

## Details of Shareholder acting as Persons in Concert including their shareholding ( No. &amp; % )

Sr. No.	Name of Shareholder	PAC	No. of Shares	% of shareholding
1	Samir Jain	Meera Jain	518827	16.21
2	Meera Jain	Samir Jain	273604	8.55

**SHWETA SAXENA**

Digitally signed by SHWETA SAXENA  
 DN: C=IN, O=Personal, PostalCode=201204, S=UTTAR PRADESH,  
 SERIALNUMBER=d91785cbb969cf3ca41bf7960aedbf5e66ac6750830a816936be6b97c26bc4, CN=SHWETA SAXENA  
 Reason: I am the author of this document  
 Location: your signing location here  
 Date: 2020-10-21 16:10:15  
 Foxit PhantomPDF Version: 9.7.0

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the Shareholders	PAN	No. of shareholder	No. of fully paid up equity	Partly paid-up equity shares held	Nos. of shares underlying	Total no. shares held	Shareholding % calculated	Number of Voting Rights held in each class of			No. of Shares Underlying	Total shareholding, as a %	Number of Locked in		Number of Shares		Number of equity shares	
									No of Voting Rights		Total as a % of Total			No.	As a % of total	No. (Not	As a % of total		
									Class X	Class Y									Total
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)=(IV)+(V)+(VI)	(VIII)	(IX)			(X)	(XI)=(VII)+(X)	(XII)		(XIII)	(XIV)		
C1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0
C2	Employees Benefit Trust (Under SEBI (Share Based Employee Benefit Regulation 2014)		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0
	Total Non Promoter Non Public Shareholding (C)=(C1)+(C2)		0	0	0	0	0	0	0	0	0	0.00	0	0	0	0.00	0	0.00	0

**SHWETA  
SAXENA**

Digitally signed by SHWETA SAXENA  
 DN: C=IN, O=Personal, PostalCode=201204,  
 S=UTTAR PRADESH,  
 SERIALNUMBER=091785cbba969cf3ca41bf79  
 60aedbf5e66ac6750830a816936ebe6b97c26bc  
 4, CN=SHWETA SAXENA  
 Reason: I am the author of this document  
 Location: your signing location here  
 Date: 2020-10-21 16:10:46  
 Foxit PhantomPDF Version: 9.7.0

Table V- Statement showing details of significant beneficial owners (SBOs)								
Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: (III)	Date of creation/ acquisition of significant beneficial interest* (IV)
	Name	PAN/ Passport No. in case of a foreign national	Nationality	Name	PAN/ Passport No. in case of a foreign national	Nationality		
							Shares	<input type="text"/> %
							Voting rights	<input type="text"/> %
							Rights on distributable dividend or any other distribution	<input type="text"/> %
							Exercise of control	
							Exercise of significant influence	
There are no significant beneficial owners of the Company								

**SHWETA  
SAXENA**

Digitally signed by SHWETA SAXENA  
 DN: C=IN, O=Personal, PostalCode=201204,  
 S=UTTAR PRADESH,  
 SERIALNUMBER=d91785cbb969cf3ca41b17  
 960aebf5e66ac6750830a816936ebe6b97c26  
 bc4, CN=SHWETA SAXENA  
 Reason: I am the author of this document  
 Location: your signing location here  
 Date: 2020-10-21 16:11:20  
 Foxit PhantomPDF Version: 9.7.0